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FORM PTO-1390 (Modified) (REV 11-98) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR
RCA88784	09/581268

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/US98/18059	01 SEPTEMBER 1998	12 DECEMBER 1997

TITLE OF INVENTION

INITIALIZATION OF HANDSETS IN A MULTI-LINE WIRELESS PHONE SYSTEM FOR SECURE COMMUNICATIONS

APPLICANT(S) FOR DO/EO/US

KUMAR RAMASWAMY, PAUL GOTHARD KNUTSON AND MANECK BEHRAM KAPADIA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
   a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☒ has been transmitted by the International Bureau.
   c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
   a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
   b. ☐ have been transmitted by the International Bureau.
   c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
   d. ☒ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☒ Certificate of Mailing by Express Mail
20. ☒ Other items or information:

RETURN RECEIPT POSTCARD

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U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER
09/581268	PCT/US98/18059	RCA88784

21. The following fees are submitted:.

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :		CALCULATIONS PTO USE ONLY
☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO	$970.00	
☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but Internation Search Report prepared by the EPO or JPO	$840.00	
☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	$690.00	
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)	$670.00	
☐ International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)	$96.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =		$840.00
Surcharge of **$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	9 - 20 =	0	x $18.00	$0.00
Independent claims	3 - 3 =	0	x $78.00	$0.00
Multiple Dependent Claims **(check if applicable)**.			☐	$0.00
TOTAL OF ABOVE CALCULATIONS =				$840.00
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) **(check if applicable)**.			☐	$0.00
SUBTOTAL =				$840.00
Processing fee of **$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			+	$0.00
TOTAL NATIONAL FEE =				$840.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable).**			☐	$0.00
TOTAL FEES ENCLOSED =				$840.00
			Amount to be: refunded	$
			charged	$

☐ A check in the amount of to cover the above fees is enclosed.

☒ Please charge my Deposit Account No. **07-0832** in the amount of **$840.00** to cover the above fees.
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **07-0832** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

JOSEPH S. TRIPOLI - PATENT OPERATIONS
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Frank Liao
SIGNATURE

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NAME

40,065
REGISTRATION NUMBER

6/8/00
DATE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Kumar Ramaswamy et al.
Int'l Appln. No	:	PCT/US98/18059
Filed	:	Herewith
For	:	INITIALIZATION OF HANDSETS IN A MULTI-LINE WIRELESS PHONE SYSTEM FOR SECURE COMMUNICATIONS

PRELIMINARY AMENDMENT

Honorable Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In the US national phase application of PCT/US98/18059 filed herewith, please enter the following amendments:

In the Claims

Please amend the claims as follows:

In Claim 1, line 1, delete [(100)],
line 2, delete [(120)] and [(120_i)],
line 3, delete [(121,122)],
line 4, delete [(110)],
line 5, delete [(118)],
line 12, delete [(111,112)],
line 13, delete [(120_i)].

In Claim 2, line 2, delete [(313)],
line 4, delete [(331)].

In Claim 6, line 3, delete [(302)].
line 5, delete [(302)].

In Claim 7, line 2, delete [(200)].

In Claim 8, line 1, delete [(110)],
line 2, delete [(100)] and [(120)],
line 3, delete [(111,112)] and [(118)],

line 4, delete [(120$_I$)] and [(121,122)].

In Claim 9, line 1, delete [(110)] and [(120)],
line 2, delete [(120$_I$)] and [(121,122)],
line 5, delete [(118)],
line 9, delete [(111,112)] and [(120$_I$)].

REMARKS

The above claims have been amended to remove reference indicia. No new matter has been added.

No fee is believed to been incurred by virtue of this amendment. However, if a fee is incurred on the basis of this amendment, please charge such fee against deposit account 07-0832.

Respectfully Submitted,
Kumar Ramaswamy et al.

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3/PRTS

09/581268

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INITIALIZATION OF HANDSETS IN A MULTI-LINE WIRELESS PHONE SYSTEM FOR SECURE COMMUNICATIONS

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to multi-line wireless telephone systems and, in particular, to providing secure communications in a time-division multiplexed (TDM) wireless telephone system.

Description of the Related Art

The use of telephones and telephone systems, including wireless telephone systems, is widespread. In wireless telephone systems, a cordless or wireless telephone handset unit communicates via either analog or digital radio signals with a base unit, which is typically connected via a standard telephone line to an external telephone network. In this manner, a user may employ the wireless handset to engage in a telephone call with another user through the base unit and the telephone network.

Multi-line wireless telephone systems are in use in various situations, such as businesses with many telephone users. Such systems employ a handset that communicates with up to N handsets simultaneously, typically with digital communications schemes, such as a spread-spectrum, time division multiple access (TDMA). In a TDMA system, a single RF channel is used, and each handset transmits and receives data during a dedicated time slice or slot within an overall cycle or epoch. It is desirable to provide various features, such as private branch exchange (PBX) features and capabilities, in a multi-line wireless telephone system.

One problem that may be encountered in such situations is breach of security. For example, an unauthorized third party may use a "bootleg" wireless handset to communicate via the base unit. Without adequate security, these and other types of security breaches may occur.

European Pat. App. No. 0 034 998 (Philips NV), 1 March 1989 describes a wireless telephone system in which a base station stores an assigned security address code for a plurality of handsets, and the handsets also store the code assigned to each handset and transmitted to the handset by the base unit during recharging. U.S. Pat. No. 5,625,888 (Rüther et al.), 29 April 1997 describes a process for combining transmitting/receiving devices of a cordless communication system to form a communication unit. U.S. Pat. No. 5,371,783 (Rose et al.), 6 December 1994 describes a method for continually monitoring the status of an RF link. European Pat. App. No. 0 301 573 (Nippon Electric Co.), 1 February 1989 describes registration of new cordless telephones to an existing system.

SUMMARY

A wireless telephone system, having one or more wireless handsets and a base unit. Each handset has a handset transceiver, and the base unit has a base transceiver and a handset docking station, which has a wired interface. The base unit digitally communicates over an RF channel with a handset via its handset transceiver only if the handset has previously been initialized by the base unit. The handset is initialized via the wired interface when it is physically docked in the docking station.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram of TDMA multi-line wireless telephone system for initializing wireless handsets of the system, in accordance with an embodiment of the present invention;

Fig. 2 is a schematic representation of the message format for an initialization message exchange between the base unit and a handset of the telephone system of Fig. 1 via a wired link, for initializing the handset, in accordance with an embodiment of the present invention;

Fig. 3 is a flow diagram illustrating the handset initialization performed by the system of Fig. 1, from the point of view of the base station, in accordance with an embodiment of the present invention; and

Fig. 4 is a flow diagram illustrating the handset initialization performed by the system of Fig. 1, from the point of view of the handset being initialized, in accordance with an embodiment of the present invention.

DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring now to Fig. 1, there is shown a block diagram of spread spectrum TDMA multi-line digital wireless telephone system 100, in accordance with an embodiment of the present invention. TDMA system 100 comprises a base unit 110, which has receiver and transmitter units 112 and 111, respectively, and is coupled to external telephone network 116 via telephone line(s) 115. Base unit 110 also comprises docking station 118, for receiving a wireless handset and providing a wired interface between base unit 110 and the handset. In addition, base unit 110 also has a controller/microprocessor 113 for controlling and monitoring the overall functions of the base unit 110.

System 100 also comprises N wireless handsets 120_1, 120_2, . . . 120_N. Each has a transmitter and receiver unit (transceiver), such as transmitter 121 and receiver 122 of handset 120_1. In one embodiment, receiver unit 112 comprises N logical receivers, and transmitter unit 111 comprises N logical transmitters, so that receiver and transmitter units 112 and 111 provide N logical transceiver units, one for each of N wireless handsets. At any given time, M handsets ($0 \leq M \leq N$) are operating or active (i.e., in the process of conducting a telephone call).

The telephone system provided by system 100 preferably operates in the 900 MHz unlicensed band, and preferably provides

features like that of a small PBX, in conjunction with PC 140. In one embodiment, system 100 employs a combination of time division multiplexing (TDM), such as TDMA, and frequency band selection to overcome interfering sources and to maintain reliable links between the base-station and the handsets. In a digital TDMA scheme, each handset only transmits or receives data during its own "time slice" or slot. System 100 thus provides a wireless network between the base station 110 and each handset 120_i ($1 \leq i \leq N$). In one embodiment, N=4, so that system 100 comprises a maximum of 4 wireless handsets, each having unique time slots in the TDMA epoch dedicated thereto.

As explained above, lack or breach of security in such a system is undesirable. Therefore, the telephone system of the present invention is configured to minimize this problem and to provide secure and robust communications between handsets and the base unit. In the present invention, the base unit 110 is configured so that it will communicate only with handsets that are able to provide certain unique identifier (ID) information, or security code. This ID information may include information such as the slot number assigned to the handset (e.g., one of numbers 1-4 in a 4-line, 4-slot, 4-handset system), as well as the handset serial number and/or the base serial number (or security codes based on the serial number), and the like. Only handsets 120_i that have been initialized by base unit 110 will be able to provide this information to the base unit 110; all non-initialized handsets will therefore not be able to communicate as part of the telephone system 100.

In an alternative embodiment, in addition to the use of security codes such as the serial number, and slot number, a user-programmable scrambler code is employed. In this embodiment,

during initialization, the user of the handset is prompted to enter a random scrambler seed. This scrambler seed is then stored in both handset and base unit, and is used to scramble all future TDMA communications between the two. Since only the initialized handset and the base unit know the scrambler seed, an unauthorized handset or other transceiver device will be unable to eavesdrop on the channel or use the handset's slot. Thus, the user-programmable scrambler provides an extra layer of security. In an alternative embodiment, the scrambler code or seed may be selected automatically by the base unit and/or handset, without prompting the human user for input.

System 100 is configured so that base unit 110 has a physical docking station 118 or receptacle/port into which a non-initialized handset 120_i may be physically placed, to provide a wired link and interface between the handset 120_i and base unit 110. The handset is then initialized by the base unit via the wired link. Because the physical location of the base unit and its docking station or port may be controlled and access thereto limited to authorized persons, and because a handset must be physically docked to the docking station in order to engage in initialization, only an authorized person will be able to initialize a handset. Therefore, in the present invention, only handsets that have been initialized by the handset via the wired link, as a result of actions of an authorized user, will be able to engage in telephone communications with the telephone system, thus providing communication security. The present invention is described in further detail below, with reference to Figs. 2-4.

Referring now to Fig. 2, there is shown a schematic representation of the message format 200 for an initialization

message exchange between the base unit 110 and a handset 120_i of the telephone system 100 of Fig. 1 via a wired link, for initializing the handset 120_i, in accordance with an embodiment of the present invention. Message format 200 comprises a plurality of fields 201-209 for the exchange of information between base unit 110 and a handset 120_i via the wired link provided by port 118, which are exchanged in order to initialize the handset. Messages sent to a docked handset 120_i from base unit 110 have message format 200, as do messages sent to base unit 110 from a docked handset 120_i. Port 118 may also double as the recharging port or cradle into which a handset may be placed to recharge its battery.

Whenever a non-initialized handset 120_i is placed in port 118 and is to be initialized, the base unit 110 provides information to the handset, and vice-versa, to initialize the handset so that future TDMA communication may be conducted. In one embodiment, this information includes a security code or ID 205, a slot number 204, and a scrambler seed 207. In one embodiment, the security code is based on the serial number of the handset, and is preferably a 32 bit number. The security code may be identical to the serial number, or a part or subset thereof. In an alternative embodiment, the security code is based on the serial number for the base unit, instead of or in addition to that of the handset. The security code is used to authenticate the handset every time any messages are to be exchanged between the base and a handset. In addition to the time slot mechanism, this security code provides a secure exchange of messages from the base to the handset.

The slot number for the handset is a 3 bit number, which is assigned by base unit 110, and transmitted via the wired link to the

handset. The slot number is a unique time slot number, which distinguishes handset 120_i from other handsets in system 100. The scrambler seed is an 8-bit number, also based on the serial number of the base unit, or, alternatively, user programmable through a serial computer interface or other input (e.g. the keypad of the wireless phone), and is used to generate the code that randomizes the spectrum of transmitted information. Since such encoding employs a deterministic mapping, which is known only to the transceivers of the handset and base unit, it can provide additional security, as described above.

Message format is, in one embodiment, a 72-bit field 200 having an unused field 208 for future expansion as shown in Fig. 2. Message number field 201 is a 4-bit field, modulo generated at each end of a transmission, which helps keep track of the state of the other end. This field is also used for positive acknowledgments. Message type field 202 is a 4-bit field, used to signal different types of messages that can possibly be exchanged between base and handsets. New/existing field 203 is a 1-bit field that indicates whether the handset is a new handset or existing handset, where 0 = new handset. For packets originating from base unit 110, this field is always set to 0.

As described previously, local number field 204 is a 3-bit field that indicates the local (slot) number assigned to an existing handset. This number is ignored if new/existing field 203 is set to 0. 32-Bit ID field 205 indicates the security code or ID, which is derived from the factory designated ID for handset 120_i. This will form the basis for secure communications between the base and handsets registered with the base (i.e., initialized). A 5-bit ack message field 206 contains

the 4-bit message number that is being acknowledged, plus an ack bit. In this format, bits 0-3 represent the message number being acknowledged, and bit 4 represents negative ack if 0, and positive ack if 1. The generation of the acknowledgment is based on the CRC check done at the receiving end. Scrambler seed field 207 is an 8-bit field used to seed the scrambler in the RF link. It forms an additional layer of security. This may be default generated from the factory code or programmed through the serial computer interface by a user. CRC code field 209 is an 8-bit cyclic redundancy check code used for error detection and correction.

Referring now to Fig. 3, there is shown a flow diagram 300 illustrating the handset 120_i initialization performed by system 100 of Fig. 1 under the control of CPU 113, from the point of view of base station 110, in accordance with an embodiment of the present invention. A handset placed or "docked" into port 118 may be already initialized and docked only for recharging. Alternatively, a non-initialized handset (i.e., either a "new" handset that has never been initialized, or a handset previously initialized for a different base unit) may be docked in port 118 in order to initialize the handset for base unit 110. Additionally, an already-initialized handset may be docked in port 118 in order to re-program or change some of the initialization parameters, i.e. to re-initialize the handset. In the latter cases, the handset may still need recharging.

Thus, base unit 110 first polls the relevant I/O device to detect the presence of the handset on the recharge cradle, i.e. port 118 (step 301). When a handset 120_i is docked in port 118 (i.e., the recharge cradle), base unit 110 first checks to see if the voltage level of the battery of handset 120_i is above a certain threshold that would

permit normal functioning of the handset (step 302). If not, no further processing steps take place until the handset recharges to a sufficient voltage.

Once the threshold voltage requirement is satisfied (step 302), base unit 110 initiates the messaging protocol, in accordance with message format 200, by sending the initial message through the wired interface of port 118 to the handset 120_i (step 303). This message is a sign on message which is indicated in the message type field 202 with 0. This starts a sequence of events that are described from the viewpoint of base unit 110 in Fig. 3 and from the viewpoint of handset 120_i in Fig. 4. All messages exchanged between the handset and base are asynchronous in nature. The general goal of this procedure is to either allow for a normal recharge or to initialize a non-initialized handset. In the initial message, base unit 110 fills in the following fields: message number field 201, message type filed 202 (where sign on message = 0), and the CRC field 209. (If the CRC does not match at the handset, it sends a negative acknowledge back to base unit 110 with the message number, in which case base unit 110 sends a retransmit message to the handset (not shown in Fig. 3). All messages with CRC need acknowledgment even where not indicated in Fig. 3.)

At this point, base unit 110 expects an acknowledge from handset 120_i (step 304). If an acknowledge is not received before a timer times out, the procedure starts again (steps 305, 306, 301). If an acknowledge is received before time out (step 304), then base unit 110 can determine whether the docked handset is "new" or has already been initialized (step 311). Handset 120_i at step 311 may have one of 3 states: it may be a new handset, it may be already

initialized by base unit 110, or it may have been initialized previously by a different base unit.

In case the handset's message indicates a new handset (in which case the handset's message contains the handset's ID in field 205), the base needs to check if it has a local number or slot available (step 312). For example, in a 4-handset system, if 4 handsets are already initialized and thus registered with base unit 110, no slot will be available. If the base unit 110 has an available local number, then the base unit 110 will assign it to the handset by updating the message fields (step 313). In this case, base unit 110 fills out the following fields for the message: message number field 201, message type field 202, unique system ID field 205, local slot number assignment field 204, scrambler seed field 207, and CRC field 209. In this case, ID field 205 contains the serial number of base unit 110.

If it is determined that handset 120_i is new, but there is no slot available (step 312), base unit 110 assumes that the user is trying to replace an existing handset with handset 120_i. In this case, the user is prompted to provide information about what local number needs to be replaced. This is accomplished by a message sent from the base to the handset which then displays a Local Number request and an audio warning (step 321). Once a response with a valid slot number is received from the handset as a result of this query (steps 322, 323), base unit 110 completes the local slot number assignment and fills out the specified fields for the message (step 313). If no response is received from the handset, a timeout is issued and the base starts at the top of its execution (step 324).

When handset 120_i is not a new handset (step 311), base unit 110 checks the 32 bit ID, local number, and scrambler seed

transmitted by handset 120_i. If these numbers match the information stored in base unit 110's memory, the base program exits (step 331). If there is a mismatch, then the base sends a reprogram message (message type = 8) to the handset (steps 331, 332). This message is filled in the following fields: message number field 201, message type field 202, and CRC field 209.

If base unit 110 receives a positive acknowledgment, it follows the processing chain as if it is dealing with a new handset, so that it can re-initialize the handset (steps 333, 312). In case it receives a negative acknowledge or times out, it exits the service routine (steps 333, 334, 335).

Referring now to Fig. 4, there is shown a flow diagram 400 illustrating the handset 120_i initialization performed by system 100 of Fig. 1, from the point of view of handset 120_i, in accordance with an embodiment of the present invention. As will be appreciated, the processing flow in handset 120_i mirrors that in base unit 110, described above with reference to Fig. 3. Once the initial sign-on message is received correctly from the base (step 403), handset 120_i responds with an acknowledgment including old/new and related handset information (step 404). In particular, if the handset is new, it responds (step 404) with a message having the following fields filled in: message number field 201, message type field 202 (=1), new/existing handset field 203 (=0; "new"), and CRC field 209. If handset 120_i has already been initialized, it responds with a message having the following fields filled in: message number field 201, message type field 202, new/existing handset field 203 (=1; "preinitialized"), unique system ID field 205, local slot number assignment field 204, scrambler seed field 207, and CRC field 209.

The rest of the processing chain shown in Fig. 4 (steps 405 *et seq.*) is a reflection of actions requested by the base. As discussed above, handset 120_i needs to have a response for each of the possible states that it can be in. In particular, depending on its initial status (which is one of 3 possible conditions discussed in the previous section), the base may request the following actions: local slot number assignment (step 406); User to Provide Local Message Assignment (step 407); or HS vs. Base ID Mismatch (step 408) (implying a requirement for a possible reprogramming of the handset based on the user's request). This allows a handset to be recharged in another base with the user prompting such an action only. Also, in the case where only a recharging function is carried out, there is no unique ring tone at the completion of the action. All other actions in the handset on completion result in a unique ring tone (step 425) which signals to the user that the handset and base have completed their actions.

It will be understood that various changes in the details, materials, and arrangements of the parts which have been described and illustrated above in order to explain the nature of this invention may be made by those skilled in the art without departing from the principle and scope of the invention as recited in the following claims.

CLAIMS

1. A wireless telephone system (100), comprising:

(a) one or more wireless handsets (120), each handset (120_1) comprising a handset transceiver (121, 122); and

(b) a base unit (110) comprising:

(1) a handset docking station (118) having a wired interface, characterized by the base unit further comprising:

(2) means for initializing the handset via the wired interface, when the handset is physically docked in the docking station, by reading from the handset a unique handset security code based on a unique handset serial number permanently stored in the handset and storing the unique handset security code locally to the base unit; and

(3) a base transceiver (111, 112) for communicating over a channel with each handset (120_1) via its handset transceiver only if the base unit determines, upon receipt of the handset security code for said handset from the handset, that the handset has previously been initialized by the base unit.

2. The system of claim 1, wherein:

the means for initializing further comprises means for providing (313) to the handset a unique base unit security code based on a unique base unit serial number; and

the base transceiver is for communicating with a given handset only if (331) the handset provides to the base unit the base unit security code and the handset security code.

3. The system of claim 1, wherein:

the base transceiver comprises means for establishing a time-division multiple access (TDMA) link with each handset via the handset transceiver in accordance with a TDMA epoch allocating exclusive audio packet time slots to each handset;

the means for initializing further comprises means for providing to the handset an exclusive audio packet slot number corresponding to its audio packet time slot; and

the base transceiver is for communicating with a given handset only if the handset provides to the base unit the handset security code and the audio packet slot number.

4. The system of claim 1, wherein:

the base unit and handset each comprise means for scrambling digital communications between the base transceiver and the handset in accordance with a scrambler seed (207) unique to the handset that must be known to both the base transceiver and the handset; and

the means for initializing further comprises means for providing to the handset and for storing locally to the base unit the scrambler seed for the handset.

5. The system of claim 1, wherein:

each handset is battery powered by a rechargeable battery; and

the docking station comprises a charging means for recharging the battery of a handset physically docked in the docking station.

6. The system of claim 5, wherein:

the base unit comprises means for determining whether the battery of the handset physically docked in the docking station has a voltage below a threshold level (302), wherein the means for initializing comprises means for waiting until after the battery voltage has been recharged above the threshold level (302) before initializing the handset.

7. The system of claim 1, wherein the handset and base unit comprise means for exchanging initialization messages during the initialization in accordance with a message format (200) comprising a plurality of fields.

8. A method for providing communication between a base unit (110) of a wireless telephone system (100) and one or more wireless handsets (120) of the system, the base unit comprising a base transceiver (111, 112) and a handset docking station (118) having a wired interface, each handset (120_i) comprising a handset transceiver (121, 122), a method characterized by the steps of:

(a) initializing a handset via the wired interface, when the handset is physically docked in the docking station, by reading from the handset a unique handset security code based on a unique handset serial number permanently stored in the handset and storing the unique handset security code locally to the base unit; and

(b) conducting digital communications, over an RF channel, between the base unit and the handset via the base unit and handset transceivers, respectively, only if the base unit determines, upon receipt of the handset security code for said handset from the handset, that the handset has previously been initialized by the base unit.

9. A base unit (110) for communicating with one or more wireless handsets (120), each handset (120_1) comprising a handset transceiver (121, 122), comprising:

(a) a handset docking station (118) having a wired interface, the base unit characterized by further comprising:

(b) means for initializing the handset via the wired interface, when the handset is physically docked in the docking station, by reading from the handset a unique handset security code based on a unique handset serial number permanently stored in the handset and storing the unique handset security code locally to the base unit; and

(c) a base transceiver (111, 112) for communicating over a channel with each handset (120_1) via its handset transceiver only if the base unit determines, upon receipt of the handset security code for said handset from the handset, that the handset has previously been initialized by the base unit.

ABSTRACT OF THE DISCLOSURE

A wireless telephone system, having one or more wireless handsets and a base unit. Each handset has a handset transceiver, and the base unit has a base transceiver and a handset docking station, which has a wired interface. The base unit digitally communicates over an RF channel with a handset via its handset transceiver only if the handset has previously been initialized by the base unit. The handset is initialized via the wired interface when it is physically docked in the docking station.

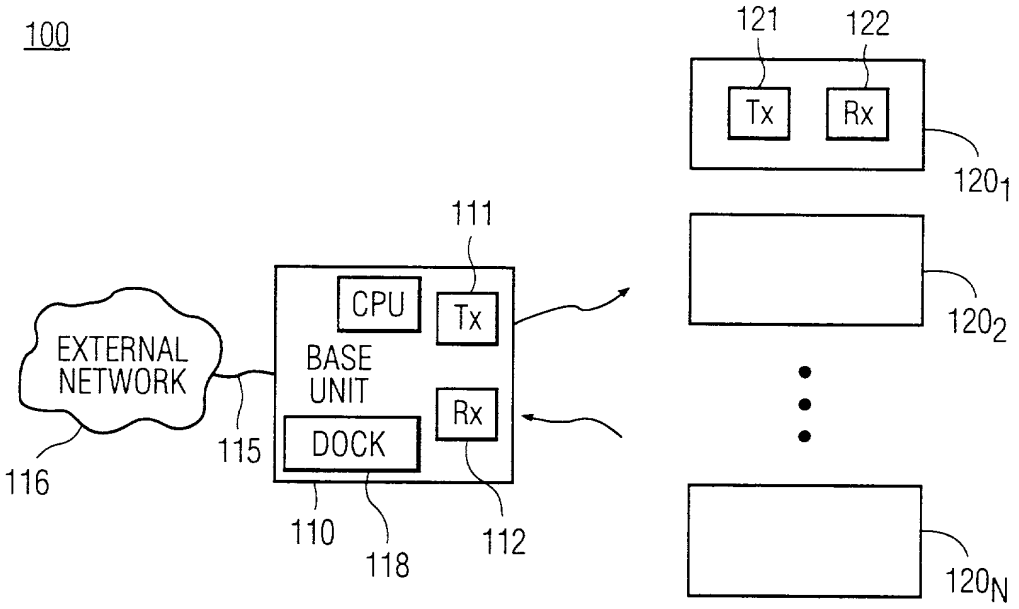

FIG. 1

200

MESSAGE NUMBER 4 BITS	MESSAGE TYPE 4 BITS	NEW/ EXISTING 1 BITS	LOCAL NUMBER 3 BITS	32 BIT ID	ACK MESSAGE 5 BITS	SCRAMBLER SEED 8 BITS	UNUSED 7 BITS	8 BIT CRC
201	202	203	204	205	206	207	208	209

FIG. 2

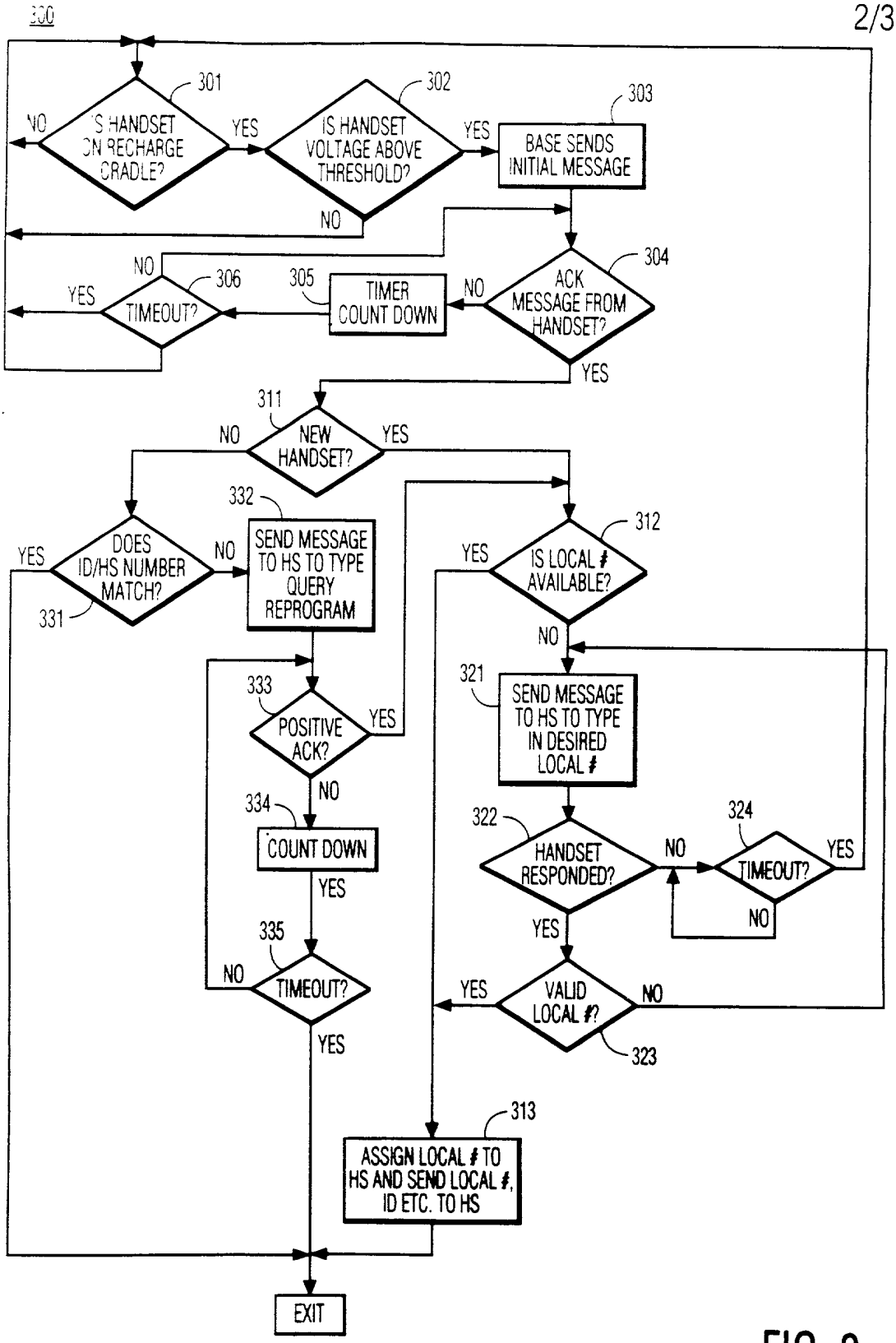
300
301
IS HANDSET ON RECHARGE CRADLE?
NO
YES
302
IS HANDSET VOLTAGE ABOVE THRESHOLD?
YES
NO
303
BASE SENDS INITIAL MESSAGE
304
ACK MESSAGE FROM HANDSET?
NO
YES
305
TIMER COUNT DOWN
306
TIMEOUT?
NO
YES
311
NEW HANDSET?
NO
YES
331
DOES ID/HS NUMBER MATCH?
YES
NO
332
SEND MESSAGE TO HS TO TYPE QUERY REPROGRAM
333
POSITIVE ACK?
YES
NO
334
COUNT DOWN
335
TIMEOUT?
NO
YES
312
IS LOCAL # AVAILABLE?
YES
NO
321
SEND MESSAGE TO HS TO TYPE IN DESIRED LOCAL #
322
HANDSET RESPONDED?
NO
YES
324
TIMEOUT?
YES
NO
323
VALID LOCAL #?
YES
NO
313
ASSIGN LOCAL # TO HS AND SEND LOCAL #, ID ETC. TO HS
EXIT

FIG. 3

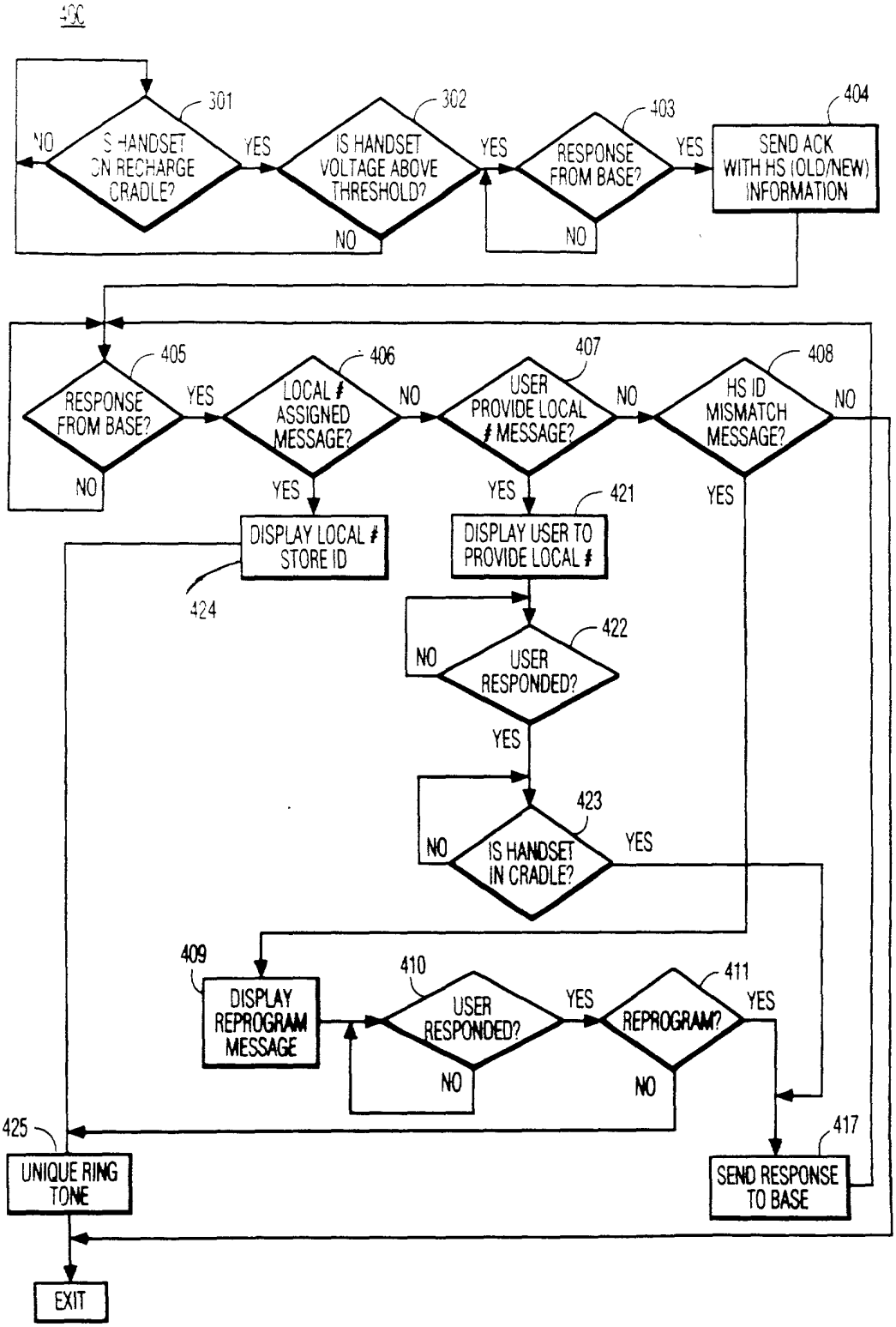
400
301
IS HANDSET ON RECHARGE CRADLE?
NO
YES
302
IS HANDSET VOLTAGE ABOVE THRESHOLD?
NO
YES
403
RESPONSE FROM BASE?
NO
YES
404
SEND ACK WITH HS (OLD/NEW) INFORMATION
405
RESPONSE FROM BASE?
NO
YES
406
LOCAL # ASSIGNED MESSAGE?
NO
YES
407
USER PROVIDE LOCAL # MESSAGE?
NO
YES
408
HS ID MISMATCH MESSAGE?
NO
YES
DISPLAY LOCAL # STORE ID
424
421
DISPLAY USER TO PROVIDE LOCAL #
422
USER RESPONDED?
NO
YES
423
IS HANDSET IN CRADLE?
NO
YES
409
DISPLAY REPROGRAM MESSAGE
410
USER RESPONDED?
NO
YES
411
REPROGRAM?
NO
YES
425
UNIQUE RING TONE
417
SEND RESPONSE TO BASE
EXIT

FIG. 4

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	RCA 88784
First Named Inventor	Kumar Ramaswamy
COMPLETE IF KNOWN	
Application Number	
Filing Date	
Group Art Unit	
Examiner Name	

As a below named inventor, I hereby declare

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the orginal, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INITIALIZATION OF HANDSETS IN A MULTI-LINE WIRELESS PHONE SYSTEM FOR SECURE COMMUNICATIONS

(Title of the Invention)

the specification of which
☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) September 1, 1998 as United States Application Number or PCT International Application Number PCT/US98/18059 and was amended on (MM/DD/YYYY) January 24, 2000 (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/069,684	December 12, 1997	☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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DECLARATION—Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number *(if applicable)*
PCT/US98/18059	September 1, 1998	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Customer Number → [ ] *Place Customer Number Bar Code Label here*

OR

☒ Registered practitioner(s) name/registration number listed below

Name	Registration Number	Name	Registration Number
JOSEPH S. TRIPOLI	26,040		
JOSPEH J. LAKS	27,914		
FRANK Y. LIAO	40,065		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
KUMAR	RAMASWAMY

Inventor's Signature	Kumar Ramaswamy					Date	10 Oct 2000
Residence: City	Indianapolis	State	IN	Country	US	Citizenship	IN
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Post Office Address	Indianapolis, Indiana 46240 US						
City		State		ZIP		Country	

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1 of 1

Name of Additional Joint Inventor, if any:	☐ A petition has been filed for this unsigned inventor						
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Post Office Address	Indianapolis, Indiana 46219 US						
City		State		ZIP		Country	

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Given Name (first and middle [if any])		Family Name or Surname					
MANECK BEHRAM		KAPADIA					
Inventor's Signature	[signature]					Date	10/10/2000
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City		State		ZIP		Country	

Name of Additional Joint Inventor, if any:	☐ A petition has been filed for this unsigned inventor						
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Inventor's Signature						Date	
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
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